

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: HAUBRICH, et al

Application No.: 10/666,912

Filed: September 19, 2003

For: PROCESS FOR FORMING A PATTERNED THIN FILM STRUCTURE

ON A SUBSTRATE

Atty. Docket No.: SIPXP054C1

Examiner: Unassigned

Group: 1762

Date: February 20, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop ______, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-

1450 on February 0, 2004.

Signed:

Pat Tate

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.56 AND 1.97(b) BEFORE MAILING OF A FIRST OFFICE ACTION

Dear Sir:

The references listed in the attached PTO Form 1449 may be material to examination of the above-identified patent application. Applicants submit the list of these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application. Because the above-identified patent application was filed after June 30, 2003, copies are attached only of those listed references, if any, that are not either a U.S. patent or U.S. patent application publication.

Applicants submit that copies of the U.S. patent and/or U.S. patent application publication references listed, if any, need not be provided pursuant to the notice published in the Official Gazette at 1274 Off. Gaz. Pat. Off. 55, 8/5/2003.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is believed to be filed before the mailing date of a first Office Action on the merits. Accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0685 (Order No. SIPXP054C1).

Respectfully submitted,

VAN PELT & YI LLP

William D. Demos

William J. James

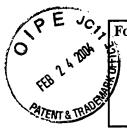
Reg. No. 40,661

Attny Dkt No. SIPXP054C1

10050 N. Foothill Blvd, Ste 200

Cupertino, CA 95014

(408) 973-2585



Form 1449 (Modified)

Information Disclosure Statement By Applicant Atty Docket No. Application No.:

SIPXP054C1 10/666,912

Inventor

HAUBRICH, et al

Group

1762

Filing Date

September 19, 2003

(Use Several Sheets if Necessary)

U.S. Patent Documents

Examiner						Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
	Α	6,490,021	Dec 3, 2002	Koyama	349	139	April 23, 1999
	В	6,507,376	Jan 14, 2003	Nakamura	349	38	Dec 20, 2001
	С	2003/0038772 A1	Feb 27, 2003	De Boer et al	345	107	Aug 19, 2002
	D						
	E						
	F						
	G						
	Н						
	I						
	J						
	K						

Foreign Patent or Published Foreign Patent Application

1 of organization of Tablished 1 of organization								
Examiner		Document	Publication			Sub-	Trans	slation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	L							
	M							
	N							
	0							
	P							

Other Documents

Examiner				
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication		
	R			
	S			
	Т			
Examiner		Date Considered		

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.